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ZPW
HDP/SB/21 based on PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/785,067
Filing Date	February 25, 2004
Inventor(s)	Eun Joo Jang et al.
Group Art Unit	2822
Examiner Name	Christy L. Novacek
Attorney Docket Number	6661-000039/US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Letter to the Official Draftsperson and ____ Sheets of Formal Drawing(s)	<input type="checkbox"/> LETTER SUBMITTING APPEAL BRIEF AND APPEAL BRIEF (w/clean version of pending claims)
<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Notice of Appeal, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		Mail Stop Amendment

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.		Attorney Name John A. Castellano	Reg. No. 35,094
Signature				
Date	November 20, 2006			



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/785,067 Group Art Unit: 2822
Filing Date: February 25, 2004 Examiner: Christy L. Novacek
Applicant: Eun Joo Jang et al.
Title: THE IMPROVEMENT OF THE LUMINESCENT
EFFICIENCY OF SEMICONDUCTOR NANOCRYSTAL
COMPOUNDS BY SURFACE TREATMENT
Attorney Docket: 6661-000039/US

Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314
Mail Stop Amendment

November 20, 2006

AMENDMENT UNDER 35 U.S.C. §1.111

Madam:

In response to the Office Action mailed August 24, 2006, the following amendments and remarks are respectfully submitted in connection with the above-identified application.

Listing of the Claims begin on page 2 of this Amendment.

Remarks begin on page 5 of this Amendment.

	Claims remaining after Amendment		Highest number previously paid for		Present extra
Total	18	-	20	=	0
Independent	2	-	3	=	0